

Internal Audit Annual Plan 2023/24

Audit Title	Risk Rating	Status as at 01/04/2023	Corporate Priority	Days
Level 1 – Cross Cutting Reviews – Council Governance & Control				
Corporate Governance Review	Med/High	Planned	Cross Cutting	25
Risk Management	Med/High	Planned	Cross Cutting	15
FOI/SAR/EIR - Follow Up	Med	Planned	Cross Cutting	3
Achieving Better Together – Transformation (inc. workforce strategy and savings delivery) *	New	Planned	Cross Cutting	15
Oracle Cloud / Fusion Project*	New	Planned	Cross Cutting	10
Regional Working*	New	Planned	Cross Cutting	10
Sickness & Overtime Review*	New	Planned	Cross Cutting	15
Total				93
Level 2 – Fundamental Systems - Section 151 Officer Assurance				
Financial Services – (1) Annual Audit, (2) 2-yearly Audit, (2+Follow-up)- 2 yearly Audit plus follow-up.				
Pensions Admin (2)	Med/High	Planned	Section 151 Assurance	20
Teachers Pensions (2)	Med	Planned	Section 151 Assurance	15
Accounts Receivable (1)	High	Planned	Section 151 Assurance	35
Business Rates (NNDR) (2 + Follow-up)	Med/High	Planned	Section 151 Assurance	5
Pension Fund Investments (2)	Med	Planned	Section 151 Assurance	7
Housing Rents (2)	Med	Planned	Section 151 Assurance	20
Accounts Payable (2 + Follow-up)	Med	Planned	Section 151 Assurance	5
Housing & Council Tax Benefit (2)	Med/High	Planned	Section 151 Assurance	40
Council Tax (2 + Follow-up)	Med/High	Planned	Section 151 Assurance	5
Capital Accounting (2)	Med	Planned	Section 151 Assurance	25
Total				177
Level 3 – Service Level Audits – Other Assurance				
Education Planning & Resources	-	TBC	Education	89
Achievement & Partnership Service	-	TBC	Education	20
Vulnerable Learner Service	-	TBC	Education, Safeguarding & Poverty	45
Education Grants & Other	-	TBC	Education, Safeguarding & Poverty	33
Child & Family Services	-	TBC	Safeguarding	40
Adult Services	-	TBC	Safeguarding	83

Adult Services – Directorate Services	-	TBC	Safeguarding	35
Commissioning & Tackling Poverty	-	TBC	Safeguarding	10
Building Services	-	TBC	Economy & Infrastructure, Safeguarding	43
Waste Management & Parks	-	TBC	Economy & Infrastructure, Resource & Biodiversity	5
Highways & Transportation	-	TBC	Economy & Infrastructure	58
Housing & Public Health	-	TBC	Poverty, Safeguarding	171
Cultural Services	-	TBC	Economy & Infrastructure	35
Planning & City Regeneration	-	TBC	Economy & Infrastructure, Resources & Biodiversity	45
Communications & Marketing	-	TBC	Transformation & Council Development	7
Financial Services	-	TBC	Section 151 Assurance	78
Legal, Democratic Services & Business Intelligence	-	TBC	Monitoring Officer Assurance	20
Commercial Services	-	TBC	Section 151 Assurance	10
Digital & Customer Services	-	TBC	Transformation & Council Development	8
Human Resources & Service Centre	-	TBC	Transformation & Council Development	55
Contract Audits	-	TBC	Transformation & Infrastructure	30
Computer Audits	-	TBC	Transformation & Council Development	30
Projects & Special Investigations	-	TBC	Section 151 Assurance	65
Miscellaneous Audits	-	TBC	Section 151 Assurance	30
Total				1045
Grand Total				1315
Other Activities				
Staff Training				48
Holidays, Sickness Absence, Special Leave				589
Admin, Planning, Control, Clerical Support, Audit Programmes, Appraisals				225
Contingency				69
Vacancy Provision				120
Total				1051
Grand Total				2366

* Audits deferred from 2022/23 plan.